

5-11-01

PTO/SB05 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

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Please type a plus sign (+) inside this box ☒ [+]

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1944-00800
First Inventor	David A. Sirbasku
Title	Compositions and Methods for the Diagnosis, Treatment and Prevention of Steroid Hormone Responsive Cancers
Express Mail Label No.	EL818623436US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Page 199]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 133]
5. Oath and Declaration
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)of prior application No.:
Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number of Bar Code Label

23505

☐

Correspondence address below

Name	Carol G. Mintz				
Address	Conley Rose & Tayon P.C. P.O. Box 3267				
City	Houston	State	Texas	Zip Code	77253-3267
Country	U.S.A.	Telephone	713/238-8000	Fax	713/238-8008

Name (Print/Type)	Carol G. Mintz	Registration No. (Attorney/Agent)	38,561
Signature	Carol G. Mintz		Date May 10, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Yet Known
Filing Date	Concurrently Herewith
First Named Inventor	David A. Sirbasku
Examiner Name	Not Yet Known
Group Art Unit	Not Yet Known
Attorney Docket No.	1944-00800

TOTAL AMOUNT OF PAYMENT \$ 2,040.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
Deposit Account Number: 03-2769
Deposit Account Name: Conley, Rose & Tayon, P.C.
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
101 710	201 355			Utility filing fee	\$355.00
106 320	206 160			Design filing fee	\$
107 490	207 245			Plant filing fee	\$
108 710	208 355			Reissue filing fee	\$
114 150	214 75			Provisional filing fee	\$

SUBTOTAL (1) \$355.00

2. EXTRA CLAIM FEES

				Extra Claims	Fee from	Fee Paid
					below	
Total Claims	65	- 20 =	45	x	9.00	= \$405.00
Independent Claims	35	3 =	32	x	40.00	= \$1280.00
Multiple Dependent					270.00	= \$ 00.00

Large Entity	Small Entity	Fee	Fee	Fee Description
Code (\$)	Code (\$)			
103 18	203 9			Claims in excess of 20
102 80	202 40			Independent Claims in excess of 3
104 270	204 135			Multiple dependent claim, if not paid
109 80	209 40			** Reissue independent claims over original patent
110 18	210 9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$1,685.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

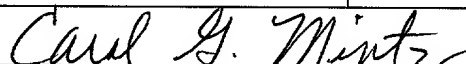
Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
105 130	205 65			Surcharge - late filing fee or oath	\$
127 50	227 25			Surcharge - late provisional filing fee or cover sheet	\$
139 130	139 130			Non-English specification	\$
147 2,520	147 2,520			For filing a request for <i>ex parte</i> reexamination	\$
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action	\$
115 110	215 55			Extension for reply within first month	\$
116 390	216 195			Extension for reply within second month	\$
117 890	217 445			Extension for reply within third month	\$
118 1,390	218 695			Extension for reply within fourth month	\$
128 1,890	228 945			Extension for reply within fifth month	\$
119 310	219 155			Notice of Appeal	\$
120 310	220 155			Filing a brief in support of an appeal	\$
121 270	221 135			Request for oral hearing	\$
138 1,510	138 1,510			Petition to institute a public use proceeding	\$
140 110	240 55			Petition to revive - unavoidable	\$
141 1,240	241 620			Petition to revive - unintentional	\$
142 1,240	242 620			Utility issue fee (or reissue)	\$
143 440	243 220			Design issue fee	\$
144 600	244 300			Plant issue fee	\$
122 130	122 130			Petitions to the Commissioner	\$
123 50	123 50			Petitions related to provisional applications	\$
126 180	126 180			Submission of Information Disclosure Stmt	\$
581 40	581 40			Recording each patent assignment per property (times number of properties)	\$
146 710	246 355			Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149 710	249 355			For each additional invention to be examined (37 CFR § 1.129(b))	\$
179 710	279 355			Request for Continued Examination (RCE)	\$
169 900	169 900			Request for expedited examination of a design application	\$
Other fee (specify)					\$

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Carol G. Mintz	Registration No. (Attorney/Agent)	38,561	Telephone	(713) 238-8000
Signature				Date	May 10, 2001

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